AGENDA

The Committee will discuss all items on this agenda, and may take action on any of those items, including information items and continued items. The Committee may also discuss other items that do not appear on this agenda but will not act on those items unless action is urgent, and a resolution is passed by a two-thirds (2/3) vote declaring that the need for action arose after posting of this agenda.

The public shall have the opportunity to directly address the Committee on any item of interest before or during the Committee’s consideration of that item. Public comment on items within the jurisdiction of the Committee is welcomed, subject to reasonable time limitations for each speaker. Public documents relating to any open session item listed on this agenda that are distributed to all or a majority of the members of the Board of Directors less than 72 hours before the meeting are available for public inspection on RWA’s website. In compliance with the Americans with Disabilities Act, if you have a disability and need a disability-related modification or accommodation to participate in this meeting, please contact cpartridge@rwah2o.org. Requests must be made as early as possible, and at least one full business day before the start of the meeting.

Note: Pursuant to the Governor’s Executive Order N-29-20 and given the state of emergency regarding the threat of COVID-19, the meeting will be held via teleconference.

We encourage Committee members and participants to join the meeting 10 minutes early. Note that we will use GoToMeeting to share slides and other information during the meeting. Use the link below to join GoToMeeting. If you have a microphone that you can use with your computer, it should be possible to both listen to, and participate in, the meeting through GoToMeeting. If you do not have a microphone, or a headset with a microphone, that plugs into your computer via USB port, you will need to call into the conference line to listen and comment, although you still should be able to view the meeting materials on GoToMeeting. Please do not simultaneously use a microphone through GoToMeeting and the telephone conference line. That combination results in audio problems for all participants.

Meeting Information:

RWA Executive Committee Meeting
Wed, Jun 23, 2021 8:30 AM - 10:30 AM (PDT)

Please join my meeting from your computer, tablet or smartphone.
https://global.gotomeeting.com/join/958606149

You can also dial in using your phone.
United States: +1 (224) 501-3412

Access Code: 958-606-149

1. CALL TO ORDER AND ROLL CALL

2. PUBLIC COMMENT: Members of the public who wish to address the Committee may do so at this time. Please keep your comments to less than three minutes.
3. CONSENT CALENDAR
   a. Minutes of the April 28, 2021 Executive Committee Meeting
   b. Recommend submission of the resolution supporting Pam Tobin for ACWA President to the RWA Board of Directors for consideration and adoption
   Action: Approve Consent Calendar Items

4. CALPERS 457 PLAN ROTH OPTION
   Information and Presentation: Josette Reina-Luken, Finance and Administrative Services Manager
   Action: Executive Committee input requested and direct the Executive Director to authorize the CalPERS 457 Roth Option

5. SACRAMENTO CENTRAL GROUNDWATER AUTHORITY
   Discussion: Jim Peifer, Executive Director

6. LEGISLATIVE/REGULATORY UPDATE
   Discussion: Ryan Ojakian, Legislative and Regulatory Affairs Manager

7. JULY 8, 2021 RWA BOARD MEETING AGENDA
   Action: Approve July 8, 2021 proposed RWA Board Meeting agenda

8. EXECUTIVE DIRECTOR’S REPORT

9. DIRECTORS’ COMMENTS

ADJOURNMENT

Next Executive Committee Meeting – July 28, 2021, 8:30 a.m. at the RWA/SGA office, 5620 Birdcage Street, Ste. 110, Citrus Heights, the location is subject to change depending on the COVID-19 emergency.

Next Regular RWA Board Meeting: Thursday, July 8, 2021, 9:00 a.m., at the RWA Office, the location is subject to change depending on the COVID-19 emergency.

The Executive Committee Meeting electronic packet will be available on the RWA website at https://rwah2o.org/meetings/board-meetings/ to access and print the packet. Notification will be emailed when the Executive Committee meeting electronic packet is complete and posted on the RWA website.
# RWA Board of Directors

**2021 Chair:** Sean Bigley  
**2021 Vice Chair:** Dan York

<table>
<thead>
<tr>
<th>Name</th>
<th>Title/Position</th>
</tr>
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<tbody>
<tr>
<td>S. Audie Foster</td>
<td>General Manager, California American Water</td>
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<tr>
<td>Evan Jacobs</td>
<td>Operations Manager, California American Water</td>
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<tr>
<td><strong>Ron Greenwood</strong></td>
<td>Board Member, Carmichael Water District</td>
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<tr>
<td>Cathy Lee</td>
<td>General Manager, Carmichael Water District</td>
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<tr>
<td>David Wheaton</td>
<td>Director, Citrus Heights Water District</td>
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<td>Hilary Straus</td>
<td>General Manager, Citrus Heights Water District</td>
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<tr>
<td>Raymond Riehle</td>
<td>Director, Citrus Heights Water District (alternate)</td>
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<tr>
<td>Rebecca Scott</td>
<td>Principal Operations Specialist (alternate)</td>
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<tr>
<td>Kerri Howell</td>
<td>Councilmember, City of Folsom</td>
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<tr>
<td>Marcus Yasutake</td>
<td>Environmental/Water Resources Director, City of Folsom</td>
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<tr>
<td>William Lauritsen</td>
<td>Councilmember, City of Lincoln</td>
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<tr>
<td>Bruce Houdesheldt</td>
<td>Councilmember, City of Roseville</td>
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<tr>
<td>Sean Bigley</td>
<td>Assistant Environment Utilities Director, City of Roseville, Chair</td>
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<tr>
<td>Rich Plecker</td>
<td>Director of Utilities, City of Roseville (alternate)</td>
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<tr>
<td>Dale Olson</td>
<td>City of Roseville (alternate)</td>
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<tr>
<td>Pauline Roccucci</td>
<td>Councilmember, City of Roseville (alternate)</td>
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<tr>
<td>Jeff S. Harris</td>
<td>Councilmember, City of Sacramento</td>
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<tr>
<td>Michelle Carrey</td>
<td>Supervising Engineer, City of Sacramento</td>
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<tr>
<td>Bill Busath</td>
<td>Director of Utilities, City of Sacramento (alternate)</td>
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<tr>
<td>Anne Sanger</td>
<td>Policy and Legislative Specialist, City of Sacramento (alternate)</td>
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<tr>
<td>Martha Guerrero</td>
<td>Council Member, City of West Sacramento</td>
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<tr>
<td>Bill Roberts</td>
<td>Director of Public Works and Operations, City of West Sacramento</td>
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<tr>
<td>Grace Espindola</td>
<td>Councilmember, City of Yuba City</td>
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<tr>
<td>Diana Langley</td>
<td>Public Works Director/City Manager, City of Yuba City</td>
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<tr>
<td>Robert Matteoli</td>
<td>Board Member, Del Paso Manor Water District</td>
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<tr>
<td>John Lenahan</td>
<td>Board President, Del Paso Manor Water District</td>
</tr>
<tr>
<td>Pat Dwyer</td>
<td>Director/Board President, El Dorado Irrigation District</td>
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<tr>
<td>Jim Abercrombie</td>
<td>General Manager, El Dorado Irrigation District</td>
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<tr>
<td>Brian Mueller</td>
<td>Engineering Director, El Dorado Irrigation District (alternate)</td>
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<tr>
<td>Sophia Scherman</td>
<td>Board Chair, Elk Grove Water District</td>
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<tr>
<td>Bruce Kamilos</td>
<td>Assistant General Manager, Elk Grove Water District</td>
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<tr>
<td>Elliot Mulberg</td>
<td>Director, Elk Grove Water District (alternate)</td>
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</table>

* Names highlighted in red are Executive Committee members  

06/01/2021
<table>
<thead>
<tr>
<th><strong>Names</strong></th>
<th><strong>Position and Company</strong></th>
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<tbody>
<tr>
<td>Randy Marx,</td>
<td>Board Member, Fair Oaks Water District</td>
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<tr>
<td>Tom Gray,</td>
<td>General Manager, Fair Oaks Water District</td>
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<tr>
<td><strong>Paul Schubert</strong>, General Manager,</td>
<td>Golden State Water Company</td>
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<tr>
<td>Ernie Gisler, Capital Program Manager,</td>
<td>Golden State Water Company</td>
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<tr>
<td><strong>Robert Hunter</strong>, Board Member,</td>
<td>Orange Vale Water Company</td>
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<tr>
<td>Joe Duran,</td>
<td>General Manager, Orange Vale Water Company</td>
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<tr>
<td><strong>Robert Dugan</strong>, Board Member,</td>
<td>Placer County Water Agency</td>
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<tr>
<td><strong>Tony Firenzi</strong>, Director of Strategic Affairs, Placer County Water Agency</td>
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<tr>
<td>Andy Fecko, General Manager, Placer County Water Agency (alternate)</td>
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<tr>
<td><strong>Mike Lee</strong>, Board Member, Placer County Water Agency (alternate)</td>
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<tr>
<td>Les Clark, Director, Rancho Murieta Community Services District</td>
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<tr>
<td>Tom Hennig, Interim General Manager,</td>
<td>Rancho Murieta Community Services District</td>
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<tr>
<td><strong>Patrick Kennedy</strong>, Supervisor,</td>
<td>Sacramento County Water Agency</td>
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<tr>
<td>Kerry Schmitz, Division Chief, Water Supply, Sacramento County Water Agency</td>
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<tr>
<td><strong>Dave Jones</strong>, Board Member,</td>
<td>Sacramento Suburban Water District</td>
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<tr>
<td><strong>Dan York</strong>, General Manager,</td>
<td>Sacramento Suburban Water District, Vice Chair</td>
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<tr>
<td>Kevin Thomas, Board Member,</td>
<td>Sacramento Suburban Water District (alternate)</td>
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<tr>
<td><strong>Dan Rich</strong>, Director, San Juan Water District</td>
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<tr>
<td><strong>Greg Zlotnick</strong>, Water Resources and Strategic Affairs, San Juan Water District</td>
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<tr>
<td><strong>Ted Costa</strong>, Board President,</td>
<td>San Juan Water District (alternate)</td>
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</tbody>
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* Names highlighted in red are Executive Committee members
<table>
<thead>
<tr>
<th>Organization</th>
<th>Representatives</th>
</tr>
</thead>
<tbody>
<tr>
<td>El Dorado County Water Agency</td>
<td><strong>Lori Parlin</strong>, Chair&lt;br&gt;<strong>Ken Payne</strong>, General Manager&lt;br&gt;(alternate)</td>
</tr>
<tr>
<td>Placer County</td>
<td><strong>Ken Grehm</strong>, Director Public Works and Facilities&lt;br&gt;<strong>Jared Deck</strong>, Manager Environmental Engineering</td>
</tr>
<tr>
<td>Sacramento Municipal Utility District (SMUD)</td>
<td><strong>Arlen Orchard</strong>, General Manage/CEO&lt;br&gt;<strong>Damien Waples</strong>, Strategic Account Advisor&lt;br&gt;<strong>Ansel Lundberg</strong>, Energy Commodity Contracts Specialist</td>
</tr>
<tr>
<td>Sacramento Regional County Sanitation District (SRCSD)</td>
<td><strong>Christoph Dobson</strong>, Director Policy and Planning&lt;br&gt;<strong>Terrie Mitchell</strong>, Manager Legislative and Regulatory Affairs&lt;br&gt;<strong>Jose Ramirez</strong>, Policy and Planning&lt;br&gt;<strong>David Ocenosak</strong>, Principal Engineer</td>
</tr>
<tr>
<td>Sacramento Area Flood Control Agency (SAFCA)</td>
<td><strong>Richard Johnson</strong>, Executive Director</td>
</tr>
</tbody>
</table>

* Names highlighted in red are Executive Committee members
<table>
<thead>
<tr>
<th>Organization</th>
<th>Representatives</th>
</tr>
</thead>
</table>
| Brown & Caldwell | Paul Selsky, Water Supply Planning, Vice president  
LaSandra Edwards, Civil Engineer  
May Huang, Engineer  
David Zuber, Vice President |
| Cosunmes CSD | Steve Sims, Park Superintendent |
| Forsgren Associates, Inc. | Alan Driscoll, Division Manager  
Sergio Guillen, Division Manager, Sacramento |
| GEI Consultants | John Woodling, Vice President, Branch Manager  
Chris Petersen, Principal Hydrogeologist  
Richard Shatz, Principal Hydrogeologist |
| HDR, Inc. | Jafar Faghii, Water Resources Engineer  
Ed Winkler, Client Development Lead |
| Sacramento Association of Realtors | David Tanner, Chief Executive Officer  
Christopher Ly, Chief Operations Officer |
| Stantec | Kari Shively, Vice President  
Vanessa Nishikawa, Principal Water Resources Engineer  
Yung-Hsin Sun, Principal Engineer  
Rebecca Guo, Senior Associate Water Resources Engineer  
Kirsten Pringle, Associate Public Affairs Specialist  
Ibrahim Khadam, Principal Engineer |
| West Yost Associates | Charles Duncan, President  
Abigail Madrone, Business Development Director  
Kelye McKinney, Engineering Manager I  
Jim Mulligan, Principal Engineer |
| Woodard & Curran (formerly RMC Water and Environment) | Ali Taghavi, Principal  
Jim Graydon, Senior Client Service Manager |

* Names highlighted in red are Executive Committee members
AGENDA ITEM 3: CONSENT CALENDAR

All items listed under the Consent Calendar are considered and acted upon by one motion. Anyone may request an item be removed for separate consideration.

3a. Approve minutes of the April 28, 2021 Executive Committee Meeting
3b. Recommend submission of the resolution supporting Pam Tobin for ACWA President to the RWA Board of Directors for consideration and adoption

Action: Approve Consent Calendar Items

Attachments:
3a. Minutes of the April 28, 2021 Executive Committee Meeting
3b. Resolution No. 2021-02
AGENDA ITEM 3a: APPROVE MINUTES OF THE APRIL 28, 2021 EXECUTIVE COMMITTEE MEETING
1. CALL TO ORDER

Chair Bigley called the meeting of the Executive Committee to order at 8:30 a.m. as a teleconference meeting. Individuals in attendance are listed below:

**Executive Committee Members**
Ron Greenwood, Carmichael Water District
Sean Bigley, City of Roseville
Michelle Carrey, City of Sacramento
Bill Roberts, City of West Sacramento
Paul Schubert, Golden State Water Company
Tony Firenzi, Placer County Water Agency
Kerry Schmitz, Sacramento County Water Agency
Dan York, Sacramento Suburban Water District
Dan Rich, San Juan Water District

**Staff Members**
Jim Peifer, Ryan Ojakian, Rob Swartz, Michelle Banonis, Amy Talbot, Josette Reina-Luken, Monica Garcia, Cecilia Partridge, and Andrew Ramos, legal counsel

**Others in Attendance**
David Wheaton, Ken Payne, Brian Sanders, Charles Duncan, Mike Huot, Kevin Thomas, Mike Grinstead, Anne Sanger, Bob Reisig, and Paul Helliker

2. PUBLIC COMMENT

None

3. CONSENT CALENDAR

Minutes of the March 24, 2021 Executive Committee Meeting

Motion/Second Carried (M/S/C) Mr. Greenwood moved, with a second by Mr. Roberts, to approve the Consent Calendar items. The motion carried by the unanimous voice vote of all directors present.
4. **FISCAL YEAR 2021 – 2022 BUDGET**

Mr. Peifer introduced the Fiscal Year 2021-2022 budget that includes a 0% increase in total Core and Water Efficiency Program dues from last year’s approved budget. This was possible because of significant savings last year due to the COVID 19 crisis, additional revenue brought in by staff and subscription programs. A table of grants was presented that gives the sense of the return on investment that is offered through the RWA.

Ms. Reina-Luken gave a PowerPoint presentation summarizing the RWA budget objectives. There are three parts to the budget, the staff report, the actual physical budget, and program budget. The overall expenditure budget is large, but is offset with multiple reimbursements. A spreadsheet was presented that included a summary page, core budget, program budget and grant budget. The proposed Fiscal Year 2021-2022 complies with all RWA budget policies. The PowerPoint presentation highlighted changes, what the budget accomplishes, and what the budget may look like in the next four additional years.

Ms. Reina-Luken additionally gave a summary of the unfunded pension liability, the payments that are made and how the liability is being paid down. An updated CalPERS valuation report is expected by the end of the first quarter 2022 at which time an update will be presented to the Executive Committee.

M/S/C Mr. Schubert moved with a second by Mr. Firenzi to recommend RWA Board adoption of the Fiscal Year 2021 – 2022 Budget. The motion carried by the unanimous voice vote of all directors present.

5. **SACRAMENTO CENTRAL GROUNDWATER AUTHORITY**

Mr. Peifer reported that Gina Bartlet, Consensus Builders Institute, will be providing facilitation services. Ms. Bartlett will be conducting stakeholder interviews to get a sense of what the issues are.

6. **OFFICE OPERATIONS**

Mr. Peifer reported on staffing the RWA office on a limited basis. Most RWA employees have been working remotely from home due to COVID-19 restrictions. He would like to take steps towards having staff begin to work in the office for the purpose of greater collaboration between staff. Staff may continue to work remotely on a limited basis, working in the office as needed, ensuring protocol is followed and staff is comfortable with the safety measures. The updated COVID-19 protocol has been reviewed by legal counsel and was included in the packet.

7. **POLICY 500.18 – NEW RWA MEMBER DISCOUNTED DUES**
Mr. Peifer outlined a step-up membership method for recruitment of new RWA members that would give them a 50% discount on their first year dues and a 25% discount on their second year dues. They would guarantee their RWA membership for three years and would pay the full dues amount during the third year and every year thereafter.

There was agreement that this is a good idea that gives new members a trial period to see the value of the RWA organization. Further discussion was suggested for the Associate and Affiliate membership to determine if they would fall under the same discount rates for new members.

M/S/C Ms. Schmitz moved with a second by Mr. York to recommend Approval of Policy 500.18 to the Board of Directors. The motion carried by the unanimous voice vote of all directors present.

8. 2021 REGIONAL GROUNDWATER SUBSTITUTION TRANSFER PROGRAM STAFFING SUPPORT AGREEMENT

Mr. Swartz provided information on an agreement for RWA to provide staffing support for a groundwater substitution transfer. Staff has been working with legal counsel and has developed a streamlined program agreement to allow RWA to receive funding to cover staff time spent in support of the transfer. RWA is entering into this agreement with the City of Sacramento on behalf of the other participants.

M/S/C Mr. Greenwood moved with a second by Ms. Schmitz to Approve the 2021 Regional Groundwater Substitution Transfer Program Staffing Support Agreement. The motion carried by the unanimous voice vote of all directors present.

9. LEGISLATIVE/REGULATORY UPDATE

Mr. Ojakian said that the legislature is wrapping up first policy committee hearings this week. RWA has a position on 31 bills with about a third of those bills becoming two-year bills. RWA has a support position on two thirds of the remaining 20 bills. He gave an update on the bills that we have an opposed or an oppose unless amended position on including AB 339, AB 377, AB 1434, SB 222, SB 223 and SB 378. The Executive Director used his emergency authorization under Policy 100.5 to take positions on SB 222, the low-income rate assistance program, SB 223, dealing with shutoff prohibitions and Senate President Pro Tempore Atkins’ budget proposal to direct funding for drought related projects.

Mr. Ojakian said that AB 339 would revise the Brown Act. AB 377 includes a revision to water quality and AB 1434 revises the indoor water use efficiency standard. When the budget actions became clear and it appeared the Senate was moving forward, we put together a proposal for what we thought would be appropriate funding out of the budget. There is $200 million for water resilient infrastructure
which would include funding for the water bank. He gave a brief update on the State safe drinking water program. The State Waterboard released a “needs assessment” to evaluate the funding gap for sufficient resources necessary for all Californians to have access to high quality, reliable, affordable water.

M/S/C Mr. Firenzi moved with a second by Mr. Greenwood to take positions on State Legislation and Federal Legislation as recommended by Mr. Ojakian. The motion carried by the unanimous voice vote of all directors present.

10. MAY 6, 2021 RWA BOARD MEETING AGENDA

M/S/C Mr. Roberts moved with a second by Mr. Greenwood to Approve the May 6, 2021 Proposed RWA Board Meeting agenda. The motion carried by the unanimous voice vote of all directors present.

11. EXECUTIVE DIRECTOR’S REPORT

Mr. Peifer said that a manager’s drought meeting is tentatively scheduled for May 10, 2021 to focus on statewide dry conditions.

A celebration is being considered for the 20th year anniversary for RWA.

Ms. Talbot has been doing a great job with the water loss regulations. Anyone who would like information on the economic model that the Water Board staff is working on should please contact Ms. Talbot.

RWA has received a letter from multiple non-governmental organizations that are interested in the Water Bank and are inquiring as to how they can be engaged. Staff is considering on how to engage with these groups.

Mr. Peifer gave an update on the federal infrastructure bill. Staff is interested in receiving funding to help build our members’ projects particularly with resilience. Mr. Bigley said that the House process has a set limit in terms of the number of projects that a House member can submit. The Senate process has a quick turnaround to receive appropriation requests. RWA staff will be working quickly to engage as an organization in that process.

12. DIRECTORS’ COMMENTS

Mr. Greenwood reported that after the results of a recent forensic audit Carmichael Water District filed charges against three former employees for the misuse of public funds.

Ms. Carrey said that the City of Sacramento is moving forward in preparation for a 2021 groundwater substitution transfer.
Chair Bigley said that the City of Roseville has been working on closing out a $33 million water infrastructure finance and innovation act loan through the US EPA. The funds will help finance six additional aquifer storage and recovery wells and making some improvements on their recycled water system.

ADJOURNMENT

Chair Bigley adjourned the meeting at 10:13 a.m.

By:

__________________________________________
Chairperson

Attest:

__________________________________________
Josette Reina-Luken, Board Secretary
AGENDA ITEM 3b: RESOLUTION SUPPORTING PAM TOBIN FOR ACWA PRESIDENT

BACKGROUND:

Every two years, the Association of California Water Agencies (ACWA) forms a nominating committee to select and propose a “slate of candidates” for president and vice president. The ACWA members vote for the slate at the ACWA fall conference. In general, the candidate for president is the current vice president. The terms for president and vice president are for two years.

Ms. Pam Tobin would like to be selected by the nominating committee for ACWA President. The purpose of the resolution is to support the nomination of Ms. Tobin.

STAFF RECOMMENDATION:
Recommend submission of the resolution for consideration and adoption by the RWA Board.

Discussion: Jim Peifer, Executive Director

Attachment: Resolution No. 2021-02
Resolution 2021-02

A Resolution of the Regional Water Authority in Support of Pamela Tobin for ACWA President

Whereas, the Association of California Water Agencies (ACWA) has announced that a Nominating Committee has been formed to develop a slate for the Association's statewide positions of President and Vice President; and

Whereas, Pamela Tobin has served as a member of the San Juan Water District Board of Directors since December 2004; and

Whereas, Pamela Tobin has served in a leadership role as a member of the ACWA Board of Directors since 2018; has served on the ACWA Region 4 Board since 2016 and is currently Region 4 Chair; has served on the ACWA Federal Affairs Committee and the ACWA Local Government Committee since 2014; has served as ACWA Vice President since 2019; and

Whereas, Pamela Tobin has served on the Board of the Regional Water Authority (RWA) since 2004, has previously served as RWA Board Chair, has served on the RWA Executive Committee for a number of years and received the RWA "Distinguished Service" Award for 2018; and

Whereas, Pamela Tobin has served on the Board of the Sacramento Groundwater Authority (SGA) since 2004 and has previously served as the SGA Chair.

Therefore, Be It Resolved, that the Regional Water Authority Board of Directors does hereby support Pamela Tobin for the office of ACWA President.

PASSED AND ADOPTED at a meeting of the Authority held on ___________.

By: ________________________________
Chair, Regional Water Authority

Attest: ______________________________
Board Secretary, Regional Water Authority
AGENDA ITEM 4: CALPERS 457 PLAN ROTH OPTION

BACKGROUND:
Per Government Accounting Standards Board (GASB) requirements, the RWA Treasurer is required to review annually all RWA’s investments even those that are non-contributing by the RWA agency. As such, the RWA Treasurer (filled by the Finance and Administrative Services Manager) met with CalPERS last month to review the CalPERS 457 deferred compensation program offered to RWA staff which allows for pre-tax salary contributions that may be withdrawn after retirement. The current RWA 457 deferred compensation plan does not offer any employer matching contribution and does not allow for loans to be taken out against any active employee plan.

CalPERS recommended that RWA consider expanding the options under the current RWA 457 Plan to include a 457 Roth Option which would allow staff to contribute to a retirement savings plan on an after-tax basis. This option will not require any additional cost or management fee to RWA as all fees are deducted from the employee’s contribution. The plan will still be non-matching and not allow for loans.

Since RWA is already a participating member of the Deferred Compensation 457 Plan, initiating the Roth Option is a one-page employer authorization (attached).

STAFF RECOMMENDATION:
Staff recommends adopting the CalPERS 457 Roth Option under its current CalPERS 457 Plan.

Action: Executive Committee input requested and direct the Executive Director to authorize the CalPERS 457 Roth Option

Information and Presentation: Josette Reina-Luken, Finance and Administrative Services Manager

Attachments:
1. CalPERS 457 Roth Plan Option Overview
2. CalPERS 457 Plan Roth Adoption Form
Roth Plan Option Overview

What is the Roth Plan Option?
The Roth Plan is an voluntary after-tax contribution option offered through your CalPERS 457 Plan (the “Plan”). If your employer has adopted the option for your Plan, you can elect to make designated Roth contributions of money from your paycheck that has already been taxed. This helps you build a nest egg of tax-free income in retirement. Your qualified distributions of contributions and their earnings, if any, then come out tax-free. Less tax on plan distributions could mean higher net distributions in retirement.

How do I contribute to the CalPERS Roth 457 Plan?

If you are already contributing the CalPERS 457 Plan, follow these steps:

1. Confirm with your employer that they have adopted the Roth plan option for their CalPERS 457 Plan.
2. Visit calpers457.com to download a Participant Change Authorization Form.
3. Complete the form, choosing to add or replace pre-tax savings with the Roth contribution source in the Change Contribution Amount section.
4. Sign the Participant Change Authorization Form and get your employer’s signature on page 2 as well before submitting for processing.
5. After-tax Roth contributions will begin to be deducted from your net pay within 2-3 pay periods.

If you are not currently participating in the CalPERS 457 Plan, follow these steps:

1. Confirm with your employer that they have adopted the Roth plan option for their CalPERS 457 Plan.
2. Visit calpers457.com to download and complete the Employee New Enrollment and Beneficiary Designation forms.
3. On the Employee New Enrollment form, choose the Roth contribution source in the Employee Contribution Election section.
4. Sign the Employee New Enrollment form and get your employer’s signature on page 2 as well before submitting all paperwork for processing.
5. After-tax Roth contributions will begin to be deducted from your net pay within 2-3 pay periods.

Key Benefits with CalPERS

- Invest Roth contributions using automatic payroll deductions.
- Roth contributions can be invested in the same menu of CalPERS Target Date Funds and Core Funds.
- Roth contributions to the CalPERS 457 Plan allow you to contribute more than into an IRA you set up on your own.

Managing Your Account

You can access all of your CalPERS 457 Plan account information in one place.

- Register or log into your account any time at calpers.voya.com.
- Call 800-260-2659 and press 2 for a Participant Service Representative* Monday-Friday between 6:00 a.m. - 5:00 p.m. PT.
- Download the Voya Retire mobile app from your favorite app store, search keyword: retire. For more information, visit mobile.voya.com.

* Participant Service Representatives are Registered Representatives of Voya Investment Advisors, LLC (member SIPC).

Schedule an Appointment

Local Voya representatives are available to review and discuss your retirement saving strategy. Go to calpers457.timetap.com or call 888-713-8244 to schedule an appointment at a date and time that is most convenient for you.
Do You Have Questions?
Here’s How It Works

My income is too high to contribute to a Roth IRA. Can I make designated Roth contributions to the CalPERS 457 Plan?

Yes, you can contribute to the designated Roth account in your CalPERS Plan even if your income is too high to be able to contribute to a Roth IRA.

Are my Roth and pre-tax contribution sources kept separate?

Yes, Designated Roth contributions are kept completely separate from your pre-tax contributions within the CalPERS 457 Plan. By adopting the Roth plan option for the Plan, your employer has established a separate account for each participant to make designated Roth contributions.

Can I contribute to both the pre-tax and designated Roth accounts in the CalPERS 457 Plan?

Yes. You can contribute in the same year in any proportion you choose. The combined amount of all elective contributions must not exceed the annual deferral limit. Visit voya.com/irslimits for information on the annual deferral and catch-up contribution (for persons age 50 or older) limits for 457 Plans.

Can I convert the pre-tax contributions I’ve made and their earnings to the Roth plan?

Yes. You can convert part or all of your vested plan balance, including earnings, to the designated Roth account. This is called an “in-plan Roth conversion.” You can convert part or all of your pre-tax contributions, pre-tax rollover contributions from qualified former employer plans, and their earnings. Generally, the amount you convert becomes part of your gross income for the tax year in which you convert it.

Please consider all of your options and consult with your tax advisor before making any decisions to request an in-plan Roth conversion. For more information, you can also refer to the In-Plan Roth Conversions – Frequently Asked Questions document that accompanies the conversion request form.

I’ve made Roth contributions and have changed my mind. Can I change them to pre-tax contributions instead?

No. Once you have designated contributions as Roth, you cannot later change them to pre-tax.

You are strongly urged to consult with an accountant and/or tax advisor before making your final decision to contribute to the CalPERS Supplemental Income Roth 457 Plan. Once Roth contributions are made, they are irrevocable and cannot be reversed. While CalPERS and Voya Financial® representatives are able to explain the options to you, they cannot tell you whether the Roth Plan is appropriate for you. CalPERS and Voya® will not provide tax or legal advice. Neither this document, Employee New Enrollment Form nor the Participant Change Authorization Form represents tax or legal advice.
Employer Election To Offer
- Roth Elective Deferrals
- In-Plan Roth Conversions

I. General Information

Agency/Employer Plan Number: 45 ________________

Employer Name

Contact Name

Telephone #

Email Address

II. Adoption of CalPERS 457 Roth Plan Option

The Employer has previously adopted or is concurrently adopting the CalPERS 457(b) Plan (Plan) and its employees are or will be eligible to participate in the Plan. The individual signing below hereby certifies that she or he is duly authorized to execute this form on behalf of the Employer and that all necessary action has been taken by the Employer to authorize and approve this action.

The Employer acknowledges that it has received and reviewed a copy of the CalPERS 457 Deferred Compensation Plan Document, including the following sections of the Plan:

- Roth Elective Deferrals (definition)
- Roth Elective Deferrals
- In-Plan Roth Conversions

The Employer hereby elects to include the following features/options in the Plan offered to its employees:

- Roth Elective Deferrals; and
- In-Plan Roth Conversions

The Employer understands and agrees as follows:

1. Employees will have the opportunity to defer their own compensation on an after-tax basis; Roth Elective Deferrals are taxable to employees in the year contributed.

2. The Employer must deduct Roth Elective Deferrals from Employees’ pay and timely remit such amounts to the Plan, must withhold state and federal income taxes on amounts contributed as Roth Elective Deferrals and must properly report those amounts to taxing authorities.

3. Employees’ pre-tax Deferrals and Roth Elective Deferrals are subject to a combined annual limit under the federal tax code. The Employer is responsible to ensure that individual Employees’ deferrals do not exceed the applicable limit in effect for each year.

4. Employees will be 100% vested in their Roth Elective Deferrals when those amounts are deducted from their pay.

5. Earnings on Roth Elective Deferrals and balances converted by In-Plan Roth Conversions will not be taxable if distributed in a Qualified Distribution with a distribution date that is at least five years after the Employee's initial Roth contribution and after:
   - The Employee attains age 59½ or becomes disabled, and the Employee has a severance from employment; or
   - The Employee's death.

If a distribution is not a Qualified Distribution, earnings on these balances will generally be taxed under the basis recovery rules found in Internal Revenue Code section 72.

6. A Participant’s election to do an In-Plan Roth Conversion is irrevocable. The amount converted is subject to state and federal income tax in the year converted and will be reported as taxable income to the Participant. The Plan does not withhold any amounts for state or federal income taxes in connection with an In-Plan Roth Conversion; the Participant will be responsible to pay applicable income taxes even though there is no withholding.

7. Balances converted as an In-Plan Roth Conversion remain subject to any and all distribution restrictions that applied prior to the conversion.
III. Signature

Name of Employer:

Authorized and Approved by:

Signature:

Print Name:

Title:

Date:

Accepted by CalPERS (or an agent duly appointed by the Board) on behalf of the Board of Administration of the California Public Employees’ Retirement System.

Signature:

Print Name:

Title:

Date:

IV. Mail Instructions

Please return the signed, original form to CalPERS for processing:

CalPERS 457 Plan
P.O. Box 942713
Sacramento, CA 94229-2713
AGENDA ITEM 5: SACRAMENTO CENTRAL GROUNDWATER AUTHORITY

BACKGROUND:

The purpose of this item is to provide updates to the Executive Committee on developments regarding the RWA providing staffing services to the Sacramento Central Groundwater Authority.

Gina Bartlett from Consensus Builders Institute is the facilitator for the matter of potentially consolidating the SGA and SCGA. Ms. Bartlett has conducted stakeholder assessments and has presented her findings and recommendations at a joint meeting of the RWA, SCGA an SGA board on June 7th.

Discussion: Jim Peifer, Executive Director
AGENDA ITEM 6: LEGISLATIVE/REGULATORY UPDATE

BACKGROUND:

Bills are headed toward their final policy committee hearings. Bills must be heard by July 14th. The Legislature passed a budget on June 15th that included $3.475 billion for drought response and $1 billion to address water arrearages. The specifics of what will be funded in the drought response will be sorted out in a budget trailer bill. Budget trailer bills must be passed before the Legislative session ends on September 10th.

Of particular note on bills is that SB 45 (Portantino D- La Canada/Flintridge) resilience bond, SB 223 (Dodd D-Napa) water shutoffs, AB 377 (Lee D- San Jose) water quality, AB 1434 (Friedman D- Glendale) indoor water use efficiency, and AB 1500 (E. Garcia D- Coachella) resilience bond, are all no longer moving through the 2021 legislative process.

Discussion: Ryan Ojakian, Legislative and Regulatory Affairs Manager
AGENDA ITEM 7: JULY 8, 2021 RWA BOARD MEETING AGENDA

BACKGROUND:

Action: Approve July 8, 2021 RWA Board Meeting Agenda

Attachment:

1. July 8, 2021 RWA Board Meeting Agenda
AGENDA

The public shall have the opportunity to directly address the Board on any item of interest before or during the Board’s consideration of that item. Public comment on items within the jurisdiction of the Board is welcomed, subject to reasonable time limitations for each speaker. Public documents relating to any open session item listed on this agenda that are distributed to all or a majority of the members of the Board of Directors less than 72 hours before the meeting are available for public inspection in the customer service area of the Authority’s Administrative Office at the address listed above. In compliance with the Americans with Disabilities Act, if you have a disability and need a disability-related modification or accommodation to participate in this meeting, please contact the Executive Director of the Authority at (916) 847-7589. Requests must be made as early as possible, and at least one full business day before the start of the meeting. The Executive Committee may consider any agenda item at any time during the meeting.

Note: Pursuant to the Governor’s Executive Order N-29-20 and given the state of emergency regarding the threat of COVID-19, the meeting will be held via teleconference.

We encourage Committee members and participants to join the meeting 10 minutes early. Note that we will use GoToMeeting to share slides and other information during the meeting. Use the link below to join GoToMeeting. If you have a microphone that you can use with your computer, it should be possible to both listen to, and participate in, the meeting through GoToMeeting. If you do not have a microphone, or a headset with a microphone, that plugs into your computer via USB port, you will need to call into the conference line to listen and comment, although you still should be able to view the meeting materials on GoToMeeting. Please do not simultaneously use a microphone through GoToMeeting and the telephone conference line. That combination results in audio problems for all participants.

Meeting Information:

RWA Board Meeting
Thu, Jul 8, 2021 9:00 AM - 11:00 AM (PDT)

Please join my meeting from your computer, tablet or smartphone.
https://global.gotomeeting.com/join/902873373

You can also dial in using your phone.
United States: +1 (224) 501-3412

Access Code: 902-873-373

1. CALL TO ORDER AND ROLL CALL

2. PUBLIC COMMENT: Members of the public who wish to address the Board may do so at this time. Please keep your comments to less than three minutes.
3. **CONSENT CALENDAR:** All items listed under the Consent Calendar are considered and acted upon by one motion. Anyone may request an item be removed for separate consideration.
   a. Minutes of the May 6, 2021 Board of Directors Meeting
   **Action:** Approve Consent Calendar

4. **RESOLUTION SUPPORTING PAM TOBIN FOR PRESIDENT OF THE ASSOCIATION OF CALIFORNIA WATER AGENCIES**
   **Discussion:** Jim Peifer, Executive Director
   **Action:** Adopt Resolution No. 2021-02 supporting the nomination of Pam Tobin for President of the Association of California Water Agencies

5. **WATER FORUM UPDATE**
   Information and Presentation: Jessica Law, Executive Director, Water Forum

6. **AMERICAN RESCUE PLAN ACT BRIEFING**
   Information and Presentation: Michelle Banonis, Manager of Strategic Affairs

7. **SACRAMENTO CENTRAL GROUNDWATER AUTHORITY STAFFING**
   **Discussion:** Jim Peifer, Executive Director

8. **LEGISLATIVE/REGULATORY UPDATE**
   **Discussion:** Ryan Ojakian, Legislative and Regulatory Affairs Manager

9. **EXECUTIVE DIRECTOR’S REPORT**

10. **DIRECTORS’ COMMENTS**

**ADJOURNMENT**

**Upcoming Meetings:**

Executive Committee Meetings: Wednesday, July 28, 2021, 8:30 a.m. and August 25, 2021, 8:30 a.m. at the RWA Office, the location is subject to change depending on the COVID-19 emergency.

Regular Board Meeting: Thursday, September 9, 2021, 9:00 a.m., at the RWA Office, the location is subject to change depending on the COVID-19 emergency.

The RWA Board Meeting electronic packet is available on the RWA website at [https://rwah2o.org/meetings/board-meetings/](https://rwah2o.org/meetings/board-meetings/) to access and print the packet.
AGENDA ITEM 8: EXECUTIVE DIRECTOR’S REPORT

An oral report will be provided.

Attachments:

1. Letter from the Public Policy Institute of California requesting the RWA become an annual sponsor of the PPIC Water Policy Center
2. Executive Director Op-Ed published in Sacramento Bee
May 11, 2021

Jim Peifer
Principal Engineer
Regional Water Authority
5620 Birdcage St #180
Citrus Heights, CA 95610

Dear Jim,

Now more than ever, the PPIC Water Policy Center is committed to spurring innovative water management solutions that support a healthy economy, environment, and society—now and for future generations. Over the past year, California has faced multiple challenges, from the COVID-19 pandemic and economic uncertainty, to raging wildfires and ongoing drought, to growing equity concerns over water affordability and safe drinking water.

Through all of this, the ongoing work of the PPIC Water Policy Center has remained vital. We tackled some of the most pressing water-world challenges, including access to safe drinking water, maintaining freshwater ecosystems and healthy headwater forests, managing groundwater for long-term sustainability, and paying for water. Our 2020 Priorities for California’s Water policy brief highlighted how the past year shifted the state’s water landscape and laid out priorities for local, state, and federal actions. Our annual fall conference—a virtual series of three events held in November—garnered registration from over 3,000 people across the state and beyond. Throughout the year, our water experts were consistently called upon by policymakers, business leaders, water users, and other stakeholders to discuss our findings.

We invite you to join us as an annual sponsor of the PPIC Water Policy Center at the $10,000 level. Your contribution is crucial to these important initiatives planned for the coming year:

- This year’s Priorities for California’s Water will examine the continued impacts of the pandemic and ongoing drought conditions to lay out priorities for local, state, and federal action.
- The center’s annual conference series.
- Targeted activities that will position the center as a key resource for California’s leaders on topics such as managing drought, strategies for achieving groundwater sustainability, paying for headwater forest management work to reduce wildfire risk, and streamlining permitting for ecosystem restoration projects.

Annual sponsors are noted prominently on Priorities for California’s Water, PPIC’s website, and all event invitations and communications. In addition, sponsors have many excellent opportunities throughout the year to connect with a broad community of policymakers and others committed to improving water policy in California.

Members of the PPIC Water Policy Center team will follow up with you shortly about this important opportunity. In the meantime, please feel free to contact me directly (hanak@ppic.org or 415-291-4433). Thank you for your consideration.

With kind regards,

Ellen Hanak
Center Director
This past year’s wave of emergencies—the COVID-19 pandemic, recession, a statewide wildfire siege, and drought—upended California and roiled its water world. These crises worsened long-standing inequities in access to water services, and made it harder to improve the resilience of the state’s water system and ecosystems.

Our water team rose to the challenge and kept pace with this rapidly shifting landscape. New research and virtual events helped foster consequential conversations on key problems and possible solutions. Our blog covered timely issues and brought insights from diverse experts on some of the state’s toughest challenges. And we met with a wide range of stakeholders and helped grow the audience engaged in finding innovative ways forward. Key impacts of our work in 2020 include:

Preparation for wildfire

California’s record 2020 wildfire season increased pressure to develop tangible solutions to reduce risk and improve the health of headwater forests, where most of the state’s surface water originates. Our work on the benefits and beneficiaries of forest management played a key role in shaping policy discussions. Our key messages had broad traction, particularly on the ecological basis for improving forest health, and the many benefits—including economic development—of management efforts. Our findings were integrated into a shared stewardship agreement between the state and US Forest Service. And our work helped motivate the state’s first-Wildfire & Forest Resilience Action Plan and related spending proposals.

Planning for groundwater sustainability

As the San Joaquin Valley starts to implement the Sustainable Groundwater Management Act, we analyzed how well sustainability plans address the law’s core objectives and reviewed ways that parties can work together to manage groundwater for long-term sustainability. Our review revealed overly optimistic assumptions about water available for recharge and new supplies. We also found potential harm to drinking water wells and continued land subsidence. Our researchers were invited by high-ranking officials in the Newsom administration to facilitate a conversation on how to improve the plans.

Improving freshwater ecosystem health

California’s river ecosystems have been significantly altered by dams, diversions, and land conversions, which has disrupted the processes that sustain ecosystem health and created a crisis for native fish and wildlife. We built on our body of work to improve freshwater ecosystems with a new report on “functional flows”—seasonal releases timed to restore key ecosystem functions, such as small winter floods and snowmelt pulses. This work has been widely adopted and is central to the State Water Board’s California Environmental Flows Framework.
How an invisible water source will help Sacramento get through the upcoming drought

By Jim Peifer Special to the Sacramento Bee
June 15, 2021 08:00 am

One look at Folsom Lake, the Sacramento region’s primary surface water storage reservoir, says all we need to know about California’s current water situation: We’re in another drought.

Like reservoirs throughout the state, Folsom is shockingly low and won’t be refilled by snowmelt from the Sierra Nevada. Low reservoir levels are a big concern for the Lower American River, a critical habitat for salmon and steelhead.

Local water managers are working closely through the Water Forum, a coalition of water providers, environmentalists, business groups and local governments, to monitor and address the river’s conditions with our federal and state partners. Many will request voluntary conservation, as we all play a role in helping preserve as much water as possible.

One important action water providers will take this year to help the river is relying more on our other major water reservoir which we cannot readily see: groundwater.
Far below the Sacramento metro area are two large groundwater aquifers. These are able to hold 1.8 million acre-feet of water — nearly twice the amount of water as Folsom Reservoir — in the soil and rock crevices 2,000 feet below the surface. Unlike other parts of California, our groundwater aquifer is stable, sustainable and ready to serve as our drought buffer.

This wasn’t always the case. More than two decades ago, groundwater levels were declining dramatically, threatening the long-term viability of some groundwater-dependent communities. The American River was also overtaxed. So, in 2000, leaders across the region came together in the historic Water Forum Agreement to balance the needs of our communities and the environment by jointly managing surface water and groundwater.

Today, thanks to that effort, the Sacramento region’s groundwater aquifers are no longer declining, and mechanisms are in place to ensure they recharge on a regular basis. They are so stable, in fact, that we are able to use them as a Water Bank, storing water during wet years for use during dry years.

We’ve achieved a lot of groundwater recharge naturally, simply by reducing reliance on the river. The less we pump out, the more water is available to seep into the aquifer. This means a significant portion of the Water Bank can be filled up without expensive new infrastructure.

Several hundred million dollars have been invested over the past two-plus decades to actively replenish the Water Bank, allowing us to recharge the aquifer with 60,000 acre-feet of surface water in wet years and pump the same amount out in dry years without any harm. That’s enough to serve up to 150,000 homes in dry years. Additional investments are in the works to boost this banking capacity by 50% in the years to come.

We’re ready for this drought in other ways, too. Since 2014, the Sacramento region cut per-capita water use in half. In the same time period, we leveraged state grant funding to build creative projects worth over $30 million aimed at reducing dependence on Folsom Lake and American River water during dry conditions by increasing water sharing among communities.

Historically, some local water agencies were totally dependent on the American River and had no access to groundwater. One project modified existing pipelines so water can move in both directions, and new inter-tie plumbing now links Sacramento-area water providers together better allowing the region to move water where it’s needed.

Our groundwater banking program doesn’t just help local residents. Shifting urban demand to groundwater allows us to reduce pumping from surface water sources like the Lower American River, leaving more for fish and wildlife — a critical action in a drought year.

Thanks to two decades of foresight and cooperation, our groundwater is there for us this year when we need it.
Jim Peifer is executive director of the Regional Water Authority and Sacramento Groundwater Authority.

https://www.sacbee.com/opinion/op-ed/article251476978.html

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